### UNITED STATES DISTRICT COURT

## EASTERN DISTRICT OF TENNESSEE

### AT KNOXVILLE

SNMP RESEARCH, INC. and SNMP RESEARCH INTERNATIONAL, INC.,

Case No. 3:20-cv-00451

Plaintiffs,

v.

BROADCOM INC.; BROCADE COMMUNICATIONS SYSTEMS LLC; and EXTREME NETWORKS, INC.

Defendants.

## **DECLARATION OF ALISON PLESSMAN**

- I, ALISON PLESSMAN, declare as follows:
- 1. I am an attorney at law duly licensed to practice before this Court. I am a partner at the law firm of Hueston Hennigan LLP, counsel of record for Defendants Broadcom Inc. ("Broadcom") and Brocade Communications Systems LLC ("Brocade") in this action. I have personal knowledge of the facts set forth in this Declaration and, if called as a witness, could and would testify competently to such facts under oath.
- 2. On December 23, 2020, the parties conducted their Federal Rule of Civil Procedure 26(f) conference.
- 3. Defendants made clear prior to and at the beginning of the conference that they would only agree to participate in the conference if Plaintiffs agreed Defendants were not waiving any defenses by doing so, including defenses based on lack of personal jurisdiction and improper venue.
- 4. Plaintiffs agreed that Defendants were not waiving any defenses by participating in the Rule 26(f) conference.

- 5. Defendants proposed discovery be stayed pending resolution of their motions to dismiss because such motions could result in dismissal of the entire case or at least significantly narrow the claims and issues.
  - 6. Plaintiffs rejected Defendants' proposed discovery stay.
- 7. On December 26, 2020, Plaintiffs served on Broadcom 91 requests for production, 24 interrogatories, and 51 requests for admission and on Brocade 86 requests for production, 23 interrogatories, and 68 requests for admission.
- 8. At the time Plaintiffs served their written discovery on Defendants on December 26, 2020, the parties had not yet agreed to an electronic discovery protocol and had not even began negotiating a protective order governing the use and disclosure of confidential and proprietary information exchanged during discovery.
- 9. On January 5, 2021 Defendants offered a very reasonable compromise:

  Defendants agreed not to seek a stay of discovery or a protective order based on the pending (and likely dispositive) motions to dismiss so long as Plaintiffs agreed that they would not argue that, by virtue of participating in the discovery process, Defendants had submitted themselves to this Court's jurisdiction and waived any argument concerning personal jurisdiction and improper venue. Defendants also requested a modest 30-day extension.
- 10. Plaintiffs rejected this proposal, asserting they would only abstain from arguing that Defendants' responses to *Plaintiffs*' discovery requests constituted waiver. But if Defendants were to propound their own discovery requests on Plaintiffs, Plaintiffs refused to agree that they would not argue such requests constituted waiver of Defendants' personal jurisdiction and venue defenses.
- 11. Plaintiffs also indicated that they would only agree to extend the response date by 30 days if Defendants agreed to provide "substantive responses and documents (not just objections) on February 24, 2021."
- 12. Plaintiffs agreed that they would not argue waiver of Defendants' defenses, including for lack of personal jurisdiction and improper venue, by virtue of Defendants'

submission of a Rule 26(f) joint report, serving of initial disclosures under Federal Rule of Civil Procedure 26(a), or responding to Plaintiffs' discovery.

- 13. On January 16, 2021, Defendants filed a motion for a stay and a protective order.
- 14. On January 16, 2021, after Defendants had filed their motion to stay and for a protective order, Plaintiffs initiated negotiations for a protective order by circulating a draft protective order.
- 15. Defendants continued to meet and confer regarding the possibility of an extension to respond to the voluminous discovery propounded by Plaintiffs while this motion is pending (or at least a 30-day extension), but Plaintiffs confirmed on January 12 and 13, 2021 that they would not agree to such an extension and would seek sanctions if Defendants fail to respond on January 25, 2021.
- 16. Attached hereto as Exhibit A is a true and correct copy of Plaintiffs' further responses to our meet and confer on January 12 and 13, 2021.
  - 17. On January 25, 2021, Defendants served Plaintiffs with their discovery responses.
- 18. On January 28, 2021, Plaintiffs requested a meet and confer regarding their discovery requests.
- 19. On February 1, 2021, I responded explaining that meeting and conferring would only be productive if Plaintiffs' were willing to reconsider their position regarding discovery.
  - 20. Plaintiffs never responded to this correspondence.
- 21. Attached hereto as Exhibit B is a true and correct copy of the parties' correspondence regarding meeting and conferring after Defendants served Plaintiffs with their discovery responses.
- 22. Defendants have since reviewed Plaintiffs' draft protective order and have proposed revisions to the draft order, though it is not yet final.
- 23. At our initial Rule 26(f) conference on December 23, 2021, Plaintiffs asked why Defendants had not submitted a corporate disclosure statement under Federal Rule of Civil Procedure 7.1 for Brocade. I responded that because Brocade is not a corporation, it need not

file a corporate disclosure statement. I also offered to reconsider our position if Plaintiffs provided any authority stating that non-corporate entities must submit corporate disclosure statements. Plaintiffs never responded with any authority on this point.

- 24. On January 24, 2021, after Defendants had already served their motion to stay and for a protective order and the day before Defendants' discovery responses were due, Plaintiffs contacted Defendants to initiate negotiations for the exchange of source code if Defendants' "requests are reasonable and appropriate."
- 25. Plaintiffs unreasonably suggested that any exchange of source code would need to occur within five days. This was not practically possible because experts would need to be retained first to conduct the source code review and provide input for the source code review protocol. Moreover, Defendants would need to first serve discovery to know what source code was need from Plaintiffs and Plaintiffs have stated we cannot do so without subjecting our motions to dismiss to waiver.
- 26. Plaintiffs incorrectly claim in their correspondence regarding the exchange of source code that I stated that the parties should produce source code rather than make it available in data room because of the covid-19 pandemic. I never made such a claim. Rather, I said the parties would need to figure out how to handle the review of source code in light of the covid-19 pandemic.
- 27. Attached hereto as Exhibit C is a true and correct copy of the parties' correspondence regarding exchanging source code.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed on this 1st day of March, 2021, at Los Angeles, California.

Alison Plessman

# **EXHIBIT A**

From: Weber, Olivia

To: Alison Plessman; jordan.feirman@skadden.com; Salvatore Bonaccorso; john.neukom@skadden.com

 Cc:
 mashley@irell.com; jwood@emlaw.com; crice@emlaw.com

 Subject:
 RE: Joint Report (SNMPRI et al. v. Broadcom et al.)

 Date:
 Wednesday, January 13, 2021 4:53:04 PM

Hi Alison,

Defendants are seeking to stay all discovery, not resolve some objection over a particular response or to preserve a privilege. So whether they call it a protective order motion or a motion to stay, they are seeking the same thing. "Simple logic" teaches that Defendants have no right to ignore their discovery responsibilities (which are automatically triggered by the requests) while that motion is pending. Willemijn Houdstermaatschaapij BV v. Apollo Computer, Inc., 707 F. Supp. 1429, 1441 (D. Del. 1989) ("Defendant's argument assumes that the moment it has filed a motion to stay discovery ... it need no longer obey basic discovery rules.... Simple logic teaches that ... unless and until it is granted a stay, defendant should be required to conduct discovery as if no motion had been filed at all."). Otherwise, ignoring Defendants' discovery obligations would equate to Defendants granting themselves an interim stay in advance of the court's ruling on a motion to stay (whether called a motion for a protective order or a motion to stay). See, e.g., Ross v. City of Memphis, 02-2454 MI/AN, 2005 WL 8157421, at \*2 (W.D. Tenn. Jan. 13, 2005) (observing that "the mere act of filing a motion for protective order does not relieve a party" of its discovery obligations unless and until a court order excuses compliance with those obligations); HRC-Hainan Holding Co., LLC v. Yihan Hu, 19-MC-80277-TSH, 2020 WL 1643786, at \*2 (N.D. Cal. Apr. 2, 2020) (same). The Committee Notes to Rule 37(d) are in accord: "it should be noted that the filing of a motion under Rule 26(c) is not self-executing—the relief authorized under that rule depends on obtaining the court's order to that effect." If Defendants have authority holding otherwise, please provide it. In any event, we made our position clear below that Plaintiffs intend to seek all remedies, rights and sanctions if Defendants proceed as they have stated they will. Defendants thus proceed at their own informed risk.

As for Defendants' purported "alternative" request for a 30-day extension, your email confirms what we expected. Defendants are not planning to use that 30 days to work on discovery responses, but instead are using it to delay work until they see "the status of the pending motions." In other words, the "alternative" relief is not alternative at all: Defendants simply plan to wait 60 total days and if there is no ruling on their motion to stay, provide responses that simply object and/or refer to their motion to stay (or for a protective order). That has nothing to do with the holidays. We asked for a simple commitment that, if the 30-day extension is granted, Defendants would begin their discovery work now and continue it diligently during the requested 30 days, and that Defendants would provide substantive responses. Your email just ignores that request. We will not grant a 30-day "extension" just so Defendants can do no discovery work and wait for a ruling on their planned motion to stay/motion for a protective order.

Also, Defendants have now on multiple occasions mentioned a proposed trial date two years out. The parties collectively proposed that to the Court because we collectively agreed that there is extensive discovery to do. *See* Joint Report of Rule 26(f) Planning Meeting, Dkt. 46 at 4 ("The parties reasonably believe that a significant volume of material may need to be searched . . . the parties have agreed that to afford adequate time to complete this process, the matter will take longer to be ready for trial than the standard 18 months from the filing of the Rule 26(f) report that this Court typically expects."). Now, Defendants are trying to stall that admittedly extensive discovery. That makes no sense.

Best,

Olivia

(949) 760-5146

From: Alison Plessman <aplessman@hueston.com>

Sent: Tuesday, January 12, 2021 4:29 PM

To: Weber, Olivia <oweber@irell.com>; jordan.feirman@skadden.com; Salvatore Bonaccorso

<sbonaccorso@hueston.com>; john.neukom@skadden.com

**Cc:** Ashley, Matt <MAshley@irell.com>; jwood@emlaw.com; crice@emlaw.com

Subject: RE: Joint Report (SNMPRI et al. v. Broadcom et al.)

Olivia -

We are not aware of authority requiring a party to respond to discovery requests while a motion for a protective order is pending as that would render the motion pointless. See, e.g. FRCP 37(d)(2) ("unless the party failing to act has a pending motion for a protective order under Rule 26(c)"). But we are happy to consider any authority you believe supports your position. We are simply trying to avoid going through the exercise of serving objections stating that the motion for a protective order is pending. Moreover, while you keep suggesting the December 26 timing of your discovery requests was justified because the 26(f) conference was moved by one week, the move only meant that your requests were served during the holidays instead of immediately before them. We would have needed more time to respond either way. Given the proposed two-year timeline for any potential trial, we do not see why a short extension while the motion for a protective order is pending will cause any prejudice. As I indicated in my email, if you would prefer to extend the response date for only 30 days, the nature of our responses and/or objections will depend on the status of the pending motions, but the extension will at least increase the likelihood that you will receive meaningful responses if warranted as opposed to only objections. Also, regarding your note regarding Brocade's and Extreme's positions, we have already discussed that the motion to stay and for a protective order is equally applicable to them in light of their dispositive motions to dismiss and improper venue defenses.

Best, Alison

#### Alison Plessman

## HUESTON HENNIGAN LLP

D: 213.788.4542 aplessman@hueston.com Biography

From: Weber, Olivia < oweber@irell.com > Sent: Tuesday, January 12, 2021 8:45 AM

To: Alison Plessman <aplessman@hueston.com>; jordan.feirman@skadden.com; Salvatore Bonaccorso

<sbonaccorso@hueston.com>; john.neukom@skadden.com
Cc: mashley@irell.com; jwood@emlaw.com; crice@emlaw.com

**Subject:** RE: Joint Report (SNMPRI et al. v. Broadcom et al.)

Hi Alison,

Rather than promoting efficiency, Defendants' proposals are attempts to inappropriately delay work on discovery for two months. At the very least, under Defendants' proposals there would be no discovery responses, and apparently no work on them either, during the standard 30 days as well as an additional 30 days. This contemplated course of action is particularly troubling for Brocade and Extreme, who have not even asserted a jurisdictional argument. (Note also that the Rule 26(f) conference was moved from Dec. 13 to Dec. 23 at Broadcom's unilateral refusal to participate until Dec. 23. Plaintiffs waited to serve discovery for three days thereafter as a professional courtesy.)

In addition, your email below indicates that Defendants will proceed as if the Court has already granted the contemplated stay motion in light of your statement that we will not receive the responses we "are looking for" if Defendants respond prior to the Court ruling on their planned motion to stay. This is improper. If Defendants proceed as you have indicated, please be aware that Plaintiffs will pursue all available rights, remedies and sanctions, including but not limited to a ruling that all objections are waived and that all requests for admissions are deemed admitted.

Can you please also clarify whether Defendants are asking for the "alternative" request of 30 additional days because they need that additional time to work on the actual responses in order to give substantive responses and provide document production (even if not the full production)? If so, then we will consider that request assuming Defendants can give us reasonable assurances that it truly is the case—i.e., that Defendants are working diligently right now to respond, will continue to work diligently through the extension, and will provide substantive responses regardless of their motion (without waiving any rights as to additional discovery). However, what you state below indicates that Defendants do not intend to do real work on discovery if granted an extension but instead intend to simply stand on their contemplated motion to stay indefinitely until the Court rules on Defendants' motion to stay. Please let us know if we have misinterpreted Defendants' position.

Best,

Olivia (949) 760-5146

From: Alison Plessman <aplessman@hueston.com>

Sent: Monday, January 11, 2021 6:33 PM

To: Weber, Olivia <oweber@irell.com>; jordan.feirman@skadden.com; Salvatore Bonaccorso

<sbonaccorso@hueston.com>; john.neukom@skadden.com

Cc: Ashley, Matt < MAshley@irell.com >; jwood@emlaw.com; crice@emlaw.com

**Subject:** RE: Joint Report (SNMPRI et al. v. Broadcom et al.)

Hi Olivia,

Sorry, I meant to send this earlier today. I spoke with Jordan. We believe the most efficient way to proceed would be to extend the deadline to respond until after the court rules on the motion for a protective order and stay since you will not receive the responses you are looking for during that time period anyway. Alternatively, if you do not want to leave the extension open ended, we would propose extending the response date at least by thirty days. Even if dispositive motions were not pending, we would still not be in a position to respond to your requests by the current response date and would be seeking an extension. And there is a chance the court will have resolved the motion for a protective order and stay before the new response date. Since the requests were served over the holidays and the trial date proposed in the 26(f) report is almost two years away, we believe a modest extension to the response date to avoid inefficiencies and unnecessary cost is reasonable. Please let us know whether you will agree to an extension while the motion for a protective order and stay is pending or, alternatively, to a thirty-day extension.

Thanks, Alison

Alison Plessman

HUESTON HENNIGAN LLP

D: 213.788.4542

From: Weber, Olivia < oweber@irell.com > Sent: Friday, January 8, 2021 3:50 PM

To: Alison Plessman <aplessman@hueston.com>; jordan.feirman@skadden.com; Salvatore Bonaccorso

<sbonaccorso@hueston.com>; john.neukom@skadden.com
Cc: mashley@irell.com; jwood@emlaw.com; crice@emlaw.com
Subject: RE: Joint Report (SNMPRI et al. v. Broadcom et al.)

Hi Alison and Jordan,

Just to be sure we completely understand your extension requests that we discussed on our call today, can you please reduce them to writing? I don't want there to be anything lost in translation when I discuss them with SNMP.

Best,

Olivia

(949) 760-5146

From: Alison Plessman <aplessman@hueston.com>

Sent: Wednesday, January 6, 2021 6:00 PM

To: Weber, Olivia <oweber@irell.com>; jordan.feirman@skadden.com; Salvatore Bonaccorso

<sbonaccorso@hueston.com>; john.neukom@skadden.com

Cc: Ashley, Matt < MAshley@irell.com >; jwood@emlaw.com; crice@emlaw.com

Subject: RE: Joint Report (SNMPRI et al. v. Broadcom et al.)

Olivia,

I only speak for my clients (Broadcom and Brocade). Your changes to footnote 1 look fine to us. You have authority to sign and file on behalf of Broadcom and Brocade.

With respect to the other issues, we have always maintained that venue is improper for all Defendants because the court lacks personal jurisdiction over Broadcom, as set forth in our motion to dismiss. And our concerns that you will argue waiver if we agree to participate in discovery (which are now confirmed to be well founded) apply equally to Defendants' venue and personal jurisdiction defenses. We made clear at the very beginning of the 26(f) conference that we were not waiving any defenses by participating in the conference and you confirmed. So, I don't understand your statement that we never discussed these issues during the 26(f) conference.

In any event, even though we believe discovery should be stayed, we nevertheless proposed a reasonable compromise that would avoid the need for motion practice and allow discovery to proceed without presenting an undue risk of you arguing waiver. The fact that you will not agree that our engaging in discovery does not constitute a waiver confirms why discovery must be stayed. We aren't asking you to waive other objections to any discovery we may serve in a vacuum, we are asking you to confirm that you will not argue waiver based on the mere fact we have propounded discovery – just as you have agreed for the Joint 26(f) report and initial disclosures. But we understand your position and will proceed accordingly.

Best, Alison

#### Alison Plessman

## HUESTON HENNIGAN IIP

D: 213.788.4542 aplessman@hueston.com Biography

From: Weber, Olivia < oweber@irell.com> Sent: Wednesday, January 6, 2021 4:57 PM

To: Alison Plessman <a href="mailto:aplessman@hueston.com">aplessman@hueston.com</a>; jordan.feirman@skadden.com; Salvatore Bonaccorso

<sbonaccorso@hueston.com>; john.neukom@skadden.com Cc: mashley@irell.com; jwood@emlaw.com; crice@emlaw.com Subject: RE: Joint Report (SNMPRI et al. v. Broadcom et al.)

Hi Alison,

Please let us know on which Defendants' behalf you are speaking.

We have accepted all of your proposed changes to the Rule 26f report and made some changes to footnote 1. If Defendants are fine with those changes, please have each Defendant give us authority to sign and file.

As for the remainder of your email, no one is forcing any Defendant to agree to "one-sided" discovery. Each Defendant is free to make its own strategic choice as to whether it seeks discovery, which discovery it seeks, and when it seeks that discovery. There is no requirement under the Federal Rules or local rules or any standing order of which we are aware that requires Plaintiffs to "waive" potential future arguments in a vacuum just so that Plaintiffs can obtain responses to discovery that are mandated by the Federal Rules. Indeed, as we noted below, and Defendants have not disputed, Brocade and Extreme have not even made a jurisdictional challenge and never raised any of these purported issues when we discussed discovery at the Rule 26f conference on December 23. Ultimately, if any Defendant or all three Defendants "seek a stay and/or other guidance from the Court," it is not because they are being "forced" to do anything by Plaintiffs. That, too, is Defendants' own strategic litigation choice. As we have stated before, Plaintiffs will oppose any motion to stay discovery.

Please let us know if our response has mooted Defendants' request for an extension. Otherwise, we are happy to respond to your questions regarding the extension.

Best,

Olivia

(949) 760-5146

From: Alison Plessman <aplessman@hueston.com>

Sent: Wednesday, January 6, 2021 2:23 PM

To: Weber, Olivia <oweber@irell.com>; jordan.feirman@skadden.com; Salvatore Bonaccorso

<sbonaccorso@hueston.com>; john.neukom@skadden.com

Cc: Ashley, Matt < MAshley@irell.com >; jwood@emlaw.com; crice@emlaw.com

**Subject:** RE: Joint Report (SNMPRI et al. v. Broadcom et al.)

Hi Olivia -

Our edits to the Joint Report are included in the attached. Since you indicate below you will not argue that the

filing of the Joint Report and provision of initial disclosures constitutes a waiver of Defendants' asserted defenses, including lack of personal jurisdiction and improper venue, we will agree to file/serve those, but by doing so we are not agreeing that discovery should otherwise proceed while Defendants' motions are pending. We will not agree to one-sided discovery where we are required to respond to your discovery but where you argue waiver if we propound our own. This is exactly the situation we were trying to avoid and your position confirms one of the reasons why a stay of discovery is warranted. If this continues to be your position, we will be forced to seek a stay and/or other guidance from the court. I hope you will reconsider.

Regarding our request for an extension, it may be moot if we need to move to stay discovery. If we are able to reach agreement with respect to the above issues, however, we will of course endeavor in good faith to provide substantive responses where warranted. Given the early stage of this litigation and our investigation, and the scope and nature of your requests and our ongoing review of them, we cannot guarantee that a substantive response is possible or warranted for each request or that we will be in a position to start producing documents on February 24, 2021. As you know, the parties do not yet have a protective order or ESI protocol in place, and document collection, review, and production will take some time. Please confirm that you were expressing your desire for substantive responses and documents but not making that a condition for the extension.

Thanks, Alison

#### Alison Plessman

## HUESTON HENNIGAN LLP

D: 213.788.4542 aplessman@hueston.com Biography

From: Weber, Olivia <<u>oweber@irell.com</u>>
Sent: Tuesday, January 5, 2021 6:10 PM

To: jordan.feirman@skadden.com; Alison Plessman <aplessman@hueston.com>; Salvatore Bonaccorso

<sbonaccorso@hueston.com>; john.neukom@skadden.com
Cc: mashley@irell.com; jwood@emlaw.com; crice@emlaw.com
Subject: RE: Joint Report (SNMPRI et al. v. Broadcom et al.)

Hi Jordan and Alison,

Only one Defendant, Broadcom, has asserted a jurisdictional challenge, and this is the first we have heard that either Brocade or Extreme is allegedly concerned that there will be a waiver of venue defenses based on submission of a joint 26f report, providing initial disclosures, or any of the other items enumerated in Alison's email. Indeed, we discussed all of these topics at the Rule 26f meeting, and the only concern raised was by Broadcom, and even then, Broadcom said it would review the law to see if there was any real concern.

In any event, if any Defendant intends to refuse to participate in the submission of the joint 26f report, the provision of initial disclosures, or responding to Plaintiffs' already-propounded discovery on the basis that it could lead to a waiver of asserted defenses (personal jurisdiction and venue on Broadcom's part; venue only on Extreme's and Brocade's part), Plaintiffs will agree not to argue that submission of the joint 26f report, the provision of initial disclosures, or responding to Plaintiffs' already-propounded discovery constitutes a waiver of the asserted defenses. As for Defendants' propounding their own discovery, that is a strategic litigation choice that Defendants will make, and Plaintiffs will not waive any potential arguments related to it in advance (assuming there

are any as to the asserted defenses), particularly given that no Defendant has identified the discovery requests that it intends to propound. If Defendants have any authority they would like us to consider on this issue, please identify it or send it to us, and we'd be happy to consider it.

With respect to Defendants' request for a 30-day extension on all discovery that Plaintiffs served (which we served on December 26 because Broadcom refused to conduct the Rule 26f meeting any time before December 23), we are willing to agree to it. However, we expect to receive substantive responses and documents (not just objections) on February 24, 2021. This is particularly important given that some of the discovery is relevant to a jurisdictional challenge. We would also appreciate reciprocation in the event Plaintiffs need additional time if and when Defendants serve discovery.

Best,

Olivia

(949) 760-5146

From: Feirman, Jordan < <u>Jordan.Feirman@skadden.com</u>>

Sent: Tuesday, January 5, 2021 3:29 PM

**To:** 'Alison Plessman' <aplessman@hueston.com>; Weber, Olivia <<u>oweber@irell.com</u>>; Salvatore Bonaccorso

<sbonaccorso@hueston.com>; Neukom, John (Jay) <<u>John.Neukom@skadden.com</u>>
Cc: Ashley, Matt <<u>MAshley@irell.com</u>>; <u>jwood@emlaw.com</u>; <u>crice@emlaw.com</u>

Subject: RE: Joint Report (SNMPRI et al. v. Broadcom et al.)

All,

Just to make sure it is clear, Extreme joins in these proposals as well.

Thank you,

#### Jordan Feirman

Skadden, Arps, Slate, Meagher & Flom LLP One Manhattan West | New York | 10001 T: 212.735.3067 | F: 917.777.3067 jordan.feirman@skadden.com

From: Alison Plessman <aplessman@hueston.com>

Sent: Tuesday, January 5, 2021 6:22 PM

To: Weber, Olivia <<u>oweber@irell.com</u>>; Salvatore Bonaccorso <<u>sbonaccorso@hueston.com</u>>; Neukom, John (Jay)

(PAL) < <u>John.Neukom@skadden.com</u>>; Feirman, Jordan (NYC) < <u>Jordan.Feirman@skadden.com</u>>

Cc: mashley@irell.com; jwood@emlaw.com; crice@emlaw.com
Subject: [Ext] RE: Joint Report (SNMPRI et al. v. Broadcom et al.)

Hi Olivia,

Thanks for sending the draft 26(f) report. We are reviewing and will provide comments shortly. As you know, we have been considering filing a motion to stay discovery pending resolution of our motion to dismiss for several reasons. Although we believe a stay is warranted under the circumstances here, we are willing to forego such a motion if you will confirm that you will not argue that the Defendants have somehow waived their defenses (including their personal jurisdiction and improper venue defenses) by agreeing to proceed with discovery (including but not limited to filing the Joint 26(f) report, serving initial disclosures, or serving or responding to discovery requests) while Defendants' motions are pending. Will you confirm that you will not argue waiver should Defendants agree to engage in such discovery-related activities?

Also, given the scope and number of your discovery requests and the fact that you served them on December 26, we will need more time to respond. Will you please agree to a 30-day extension?

Thanks, Alison

#### Alison Plessman

# **HUESTON HENNIGAN LLP**

D: 213.788.4542 aplessman@hueston.com Biography

From: Weber, Olivia <<u>oweber@irell.com</u>>
Sent: Monday, January 4, 2021 11:11 AM

To: Alison Plessman <aplessman@hueston.com>; Salvatore Bonaccorso <sbonaccorso@hueston.com>;

john.neukom@skadden.com; jordan.feirman@skadden.com

Cc: mashlev@irell.com; jwood@emlaw.com; crice@emlaw.com

Subject: Joint Report (SNMPRI et al. v. Broadcom et al.)

Counsel.

I hope everyone had a nice holiday. I attach a draft Joint Report—please provide Defendants' edits by close of business tomorrow so that we can make corresponding revisions if necessary. We will file the Joint Report on January 6.

Please also let us know whether we have permission to add Defendants' names and counsel's signatures to the attached form indicating non-consent to the magistrate judge.

Best,

Olivia

Olivia Weber | Irell & Manella LLP | 949.760.5146 | oweber@irell.com

PLEASE NOTE: This message, including any attachments, may include privileged, confidential and/or inside information. Any distribution or use of this communication by anyone other than the intended recipient(s) is strictly prohibited and may be unlawful. If you are not the intended recipient, please notify the sender by replying to this message and then delete it from your system. Thank you.

\_\_\_\_\_

This email (and any attachments thereto) is intended only for use by the addressee(s) named herein and may contain legally privileged and/or confidential information. If you are not the intended recipient of this email, you are hereby notified that any dissemination, distribution or copying of this email (and any attachments thereto) is strictly prohibited. If you receive this email in error please immediately notify me at (212) 735-3000 and permanently delete the original email (and any copy of any email) and any printout thereof.

# **EXHIBIT B**

From: Alison Plessman
To: John L. Wood

Cc: <u>crice@emlaw.com</u>; <u>mashley@irell.com</u>; <u>Salvatore Bonaccorso</u>; <u>Winston Shi</u>

Subject: RE: Meet and Confer [IWOV-ACTIVE.FID215893]

Date: Monday, February 1, 2021 10:56:16 AM

Attachments: ATT00001.png

Hi John,

As you know, there is a current motion to stay and for a protective order pending regarding these discovery requests. While we served our objections in good faith notwithstanding the pending motion, the point of the motion is that we should not have to engage in unnecessary discovery, particularly one-sided discovery, while our motion to dismiss is pending. If you want to meet and confer about the basis of the motion to stay and for a protective order, and are willing to reconsider your position, we are willing to meet and confer about that. But we believe meeting and conferring regarding the specific discovery requests should be postponed until the motion to stay and for a protective order is resolved.

Thanks, Alison

Sent from Mail for Windows 10

## Alison Plessman

D: 213.788.4542

From: John L. Wood

Sent: Monday, February 1, 2021 10:46 AM

To: Alison Plessman

Cc: crice@emlaw.com; mashlev@irell.com

**Subject:** RE: Meet and Confer [IWOV-ACTIVE.FID215893]

Alison,

Please let us know your availability for a meet and confer tomorrow or Wednesday.

### John L. Wood

Egerton, McAfee, Armistead & Davis, P.C. 900 S. Gay Street, Suite 1400 Knoxville, TN 37902 Office: (865) 292-2503 | Fax: (865) 525-5293

Website | Map | Bio | Vcard Download | jlw@emlaw.com

EGERTON McAFEE

Egerton McAfee Armistead & Davis, RC.

ATTORNEYS AT LAW

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From: John L. Wood

Sent: Thursday, January 28, 2021 4:59 PM

To: Alison Plessman <aplessman@hueston.com>

Cc: Cheryl G. Rice < CRice@emlaw.com>; Ashley, Matt < MAshley@irell.com>; Weber, Olivia

<oweber@irell.com>

**Subject:** Meet and Confer [IWOV-ACTIVE.FID215893]

Alison,

We request a meet and confer to discuss Brocade/Broadcom's objections to Plaintiff's discovery. We are available next Tuesday from 8-11:30 am PT, and anytime before 3pm PT on Wednesday. Please let us know what time works for you.

John L. Wood Egerton, McAfee, Armistead & Davis, P.C. 1400 Riverview Tower 900 S. Gay Street Knoxville, Tennessee 37902 Direct (865) 292-2503 Office (865) 546-0500 Fax (865) 525-5293

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# **EXHIBIT C**

From: John L. Wood

To: Alison Plessman; jordan.feirman@skadden.com; john.neukom@skadden.com
Cc: crice@emlaw.com; twerner@irell.com; mashley@irell.com; oweber@irell.com

Subject: RE: Source Code [IWOV-ACTIVE.FID215893]
Date: Thursday, January 28, 2021 2:19:35 PM

Attachments: ATT00001.png

Alison,

We are still working through who the Party Representatives will be for SNMP Research. We can have a preliminary list by tomorrow. Are you willing to exchange a preliminary list of Party Representatives tomorrow at 5pm ET?

The methodology you proposed during the 26(f) conference was that the parties produce source code as opposed to having source code reviewed in a data room. Your expressed reasoning was that it would make it easier for the parties, particularly given the situation with Covid 19. We are trying to follow your request to make the source code exchange as easy as possible. If you think you need expert input to comment on the source code provisions of the protective order then you should do that now so we can have a complete protective order.

Please send us your comments on the protective order and we will turn it around quickly.

John L. Wood Egerton, McAfee, Armistead & Davis, P.C. 1400 Riverview Tower 900 S. Gay Street Knoxville, Tennessee 37902 Direct (865) 292-2503 Office (865) 546-0500 Fax (865) 525-5293

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From: Alison Plessman <aplessman@hueston.com>

Sent: Thursday, January 28, 2021 1:40 PM

To: John L. Wood <JWood@emlaw.com>; jordan.feirman@skadden.com;

john.neukom@skadden.com

**Cc:** Cheryl G. Rice <CRice@emlaw.com>; twerner@irell.com; mashley@irell.com; oweber@irell.com

**Subject:** RE: Source Code [IWOV-ACTIVE.FID215893]

John,

I'm not sure what methodology you are referring to since we discussed the potential protective

order only very briefly and at a high level at the Rule 26(f) conference. For many reasons, we will not be in a position to begin reviewing SNMP's source code next week, including but not limited to the fact that this would require expert analysis and input, it is premature to do so before we know what issues will remain after the motion to dismiss, it would require us to first serve discovery and evaluate your responses and you have indicated that we cannot do so without risking waiver, etc. But I think we can likely resolve many, if not all, of the other issues we have with respect to the protective order in the meantime. As I noted below, one issue concerns who the designated "Party Representatives" will be for Highly Confidential materials. Can you please let us know if you are contemplating that Jeff Case will be the Party Representative on your side because that could be an issue?

Best, Alison

#### Alison Plessman

# **HUESTON HENNIGAN ILLP**

D: <u>213.788.4542</u> aplessman@hueston.com
Biography

From: John L. Wood < <u>jwood@emlaw.com</u>>
Sent: Wednesday, January 27, 2021 11:47 AM

To: Alison Plessman <aplessman@hueston.com>; jordan.feirman@skadden.com;

john.neukom@skadden.com

**Cc:** <a href="mailto:com">crice@emlaw.com</a>; <a href="mailto:twerner@irell.com">twerner@irell.com</a>; <a href="mailto:mashley@irell.com">mashley@irell.com</a>; <a href="mailto:oweber@irell.com">oweber@irell.com</a>; <a href="mailto:mashley@irell.com">oweber@irell.com</a>; <a

**Subject:** RE: Source Code [IWOV-ACTIVE.FID215893]

Alison,

We sent Defendants a draft protective order ten days ago, and we drafted the source code provision based on the very methodology you proposed during the parties' rule 26(f) conference on December 23. If Defendants now want to deviate from what you suggested at the 26(f) conference, please propose it and Plaintiffs will consider it. But we need a fulsome response to the draft protective order we sent you ten days ago (including source code provisions) this week so that the parties can be in a position to exchange source code by no later than next week. The timing is particularly important given that one of Defendants' purported justifications for not being able to answer discovery is the alleged lack of access to source code and the absence of a protective order. While we don't agree with those purported justifications, we don't want them to continue to hold up substantive discovery responses from Defendants.

John L. Wood Egerton, McAfee, Armistead & Davis, P.C. 1400 Riverview Tower 900 S. Gay Street Knoxville, Tennessee 37902 Direct (865) 292-2503 Office (865) 546-0500 Fax (865) 525-5293

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From: Alison Plessman <aplessman@hueston.com>

Sent: Tuesday, January 26, 2021 7:12 PM

To: John L. Wood < <a href="mailto:JWood@emlaw.com">JWood@emlaw.com">JWood@emlaw.com</a>; <a href="mailto:jordan.feirman@skadden.com">jordan.feirman@skadden.com</a>;

john.neukom@skadden.com

**Cc:** Cheryl G. Rice < <u>CRice@emlaw.com</u>>; <u>twerner@irell.com</u>; <u>mashley@irell.com</u>; <u>oweber@irell.com</u>

Subject: RE: Source Code [IWOV-ACTIVE.FID215893]

Thanks. We are working on some proposed line edits, but more globally, I think it may make sense to remove the "Production of Source Code" section entirely and negotiate that separately once the parties have reached agreement regarding what source code (if any) needs to be reviewed, where, and when. The exact mode of production and review is also something we would want our experts to weigh in on. Let me know if you have any concerns with dealing with that section separately.

Also, where the proposed order indicates that a Party Representative (or two) would be allowed to see the Highly Confidential documents and/or Source Code, can you please let us know if you are contemplating that Jeff Case will be the Party Representative on your side?

#### Alison Plessman

# **HUESTON HENNIGAN LLP**

D: 213.788.4542 aplessman@hueston.com Biography

From: John L. Wood <<u>jwood@emlaw.com</u>>
Sent: Tuesday, January 26, 2021 7:33 AM

**To:** Alison Plessman <a href="mailto:aplessman@hueston.com">; jordan.feirman@skadden.com;

john.neukom@skadden.com

Cc: crice@emlaw.com; twerner@irell.com; mashley@irell.com; oweber@irell.com

Subject: RE: Source Code [IWOV-ACTIVE.FID215893]

Alison,

Plaintiffs will not argue that negotiating, agreeing to, and/or entering a Protective Order is a waiver of any defense.

John L. Wood Egerton, McAfee, Armistead & Davis, P.C. 1400 Riverview Tower 900 S. Gay Street Knoxville, Tennessee 37902 Direct (865) 292-2503 Office (865) 546-0500 Fax (865) 525-5293

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From: Alison Plessman <aplessman@hueston.com>

Sent: Tuesday, January 26, 2021 5:20 AM

To: John L. Wood < <u>JWood@emlaw.com</u>>; <u>jordan.feirman@skadden.com</u>;

john.neukom@skadden.com

**Cc:** Cheryl G. Rice < <u>CRice@emlaw.com</u>>; <u>twerner@irell.com</u>; <u>mashley@irell.com</u>; <u>oweber@irell.com</u>

**Subject:** RE: Source Code [IWOV-ACTIVE.FID215893]

Hi John,

Thank you for reaching out. One problem is that you have indicated that Plaintiffs will or may argue that Defendants' participation in such discovery-related activities constitutes a waiver of their defenses, unless they are simply responding to discovery already propounded by Plaintiffs. Presumably that position would apply to the source code review you are proposing. We don't understand why you have taken that position, but it puts us in a difficult position. There are several threshold issues that need to be addressed before any source code review can take place. But, as an initial matter, can you confirm that Plaintiffs will not take the position that negotiating, agreeing to, and/or entering a Protective Order constitutes a waiver of any defenses?

Thanks, Alison

### Alison Plessman

# **HUESTON HENNIGAN LLP**

D: 213.788.4542 aplessman@hueston.com Biography

From: John L. Wood < <u>iwood@emlaw.com</u>> Sent: Sunday, January 24, 2021 8:55 AM **To:** Alison Plessman <aplessman@hueston.com>; jordan.feirman@skadden.com; john.neukom@skadden.com

Cc: <a href="mailto:crice@emlaw.com">crice@emlaw.com</a>; <a href="mailto:twerner@irell.com">twerner@irell.com</a>; <a href="mailto:mashley@irell.com">mashley@irell.com</a>; <a href="mailto:oweber@irell.com">oweber@irell.com</a>; <a href="mailto:mashley@irell.com">oweber@irell.com</a>; <a href="mailto:mashley@irell.com">oweber@irell.c

**Subject:** Source Code [IWOV-ACTIVE.FID215893]

Counsel,

In your Motion to Stay we noticed that you claim you are hindered from responding to some of Plaintiff's discovery because "Defendants have had no opportunity to inspect any version of Plaintiff's software ..." Each of your clients is in possession of Plaintiffs' software and is actively distributing that software. To the extent you claim to need other versions of Plaintiffs' software, please immediately identify the versions you claim to need and why. If your requests are reasonable and appropriate, Plaintiffs are willing to agree to an expedited mutual exchange of software. My client is not in possession of any version of Defendants' software and would like to start the source code review as soon as possible. We proposed a protective order over a week ago that contains source code provisions in line with Allison's suggestion at the 26(f) conference on December 23rd. We propose that we agree to the protective order this week and exchange source code by January 29th. We are happy to get on a call to discuss any of the details.

## John L. Wood

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